

09/926202

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

- In re the Application of:

- TAKENO

Group Art Unit:

Serial No.: New Application

Examiner:

Filed: September 24, 2001

Docket No. P107242-00024

For: MANUFACTURING PROCESS FOR SILICON EPITAXIAL WAFER

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

September 24, 2001

Sir:

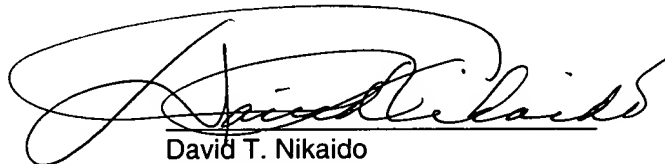
Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application, or (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☐ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.

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- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☒ 4. The reference(s) was/were cited in a counterpart foreign application. An English language version of the International Search Report is attached for the Examiner's information.
- ☒ 5. In the event any fees are due with this paper, please charge our Deposit Account No. 01-2300.

Respectfully submitted,



David T. Nikaido  
Registration No. 22,663

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC  
1050 Connecticut Avenue, N.W.,  
Suite 600  
Washington, D.C. 20036-5339  
Tel: (202) 857-6000  
Fax: (202) 638-4810

DTN/hk  
International Search Report  
4 References

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<p>FORM PTO-1449</p> <p style="text-align: center;">U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p style="text-align: center;"><b>LIST OF REFERENCES CITED BY APPLICANT</b></p> <p style="text-align: center;"><i>(Use several sheets if necessary)</i></p>	<p>ATTY. DOCKET NO. P107242-00024</p> <p>APPLICANT <b>TAKENO</b></p> <p>FILING DATE September 24, 2001</p>	<p>SERIAL NO. New Application</p>
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	AA	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION		
							YES	NO	PART.
	AG								
	AH								
	AI								
	AJ								

**OTHER REFERENCES** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AK	Abstract of Japanese Patent Publ. No. 01272109A; dated October 31, 1989 *
	AL	Abstract of Japanese Patent Publ. No. 60198735A; dated October 8, 1985 *
	AM	Abstract of Japanese Patent Publ. No. 11204534A; dated July 30, 199 *
	AN	Abstract of Japanese Patent Publ. No. 11283987A; dated October 15, 1999 *

<p><b>EXAMINER</b></p>	<p><b>DATE CONSIDERED</b></p>
<p><b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	